

# **CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL**

*(Only for continuing applications under 37 CFR 1.53(b))*

Attorney Docket No.  
1293.1066D2C2

First Named Inventor:  
Jung-wan KO

Title: **RECORDING MEDIUM FOR STORING DEFECT  
MANAGEMENT INFORMATION FOR RECORDING  
REAL TIME DATA, DEFECT MANAGING METHOD  
THEREFOR, AND REAL TIME DATA RECORDING  
METHOD**

Express Mail Label No.

## **APPLICATION ELEMENTS**

*See MPEP chapter 600  
concerning utility  
patent application contents.*

### ADDRESS TO:

**Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract..... [Total Pages: 20]
3. ☒ Drawing(s) (35 USC 113)..... [Total Sheets: 11] [FIGS. 1-3, 4A-4B, 5-7, 8A-8B, 9-14]
4. ☒ Oath or Declaration..... [Total Pages: 1]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Application Nos. 98-14059 filed April 20, 1998; 98-23913 filed June 24, 1998; 98-29733 filed July 23, 1998; 98-34880 filed August 27, 1998; and 98-35847 filed September 1, 1998 in the Korean Patent Office.
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to Samsung Electronics Co., Ltd. of Suwon-City, Republic of Korea at Reel 010083, Frame 0225  
☒ for publication of assignee information under 37 CFR 1.215(b)

00746 U.S. PTO  
10/631785  
08/01/03

## ACCOMPANYING APPLICATION PARTS

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/294,344.
  - b. ☐ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
  - a. ☐ enclosed herewith.
  - b. ☒ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☐ Other:

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/107,395.

Prior application information: Examiner: Thang V. Tran  
Group/Art Unit: 2653

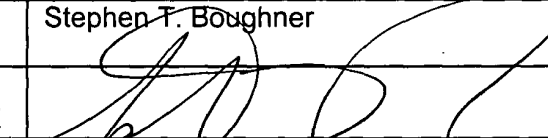
**Preliminary Amendment:**

☒ The specification and drawings have been amended to detail what is conventionally known of recording and/or reproducing apparatus, and its applicability regarding the present invention. New claims 1-9 have also been added.

**22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171****21171**

PATENT TRADEMARK OFFICE

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	Stephen T. Boughner	REGISTRATION NO.	45,317
SIGNATURE		DATE	8/1/03

15915 U.S. PTO  
08/01/03

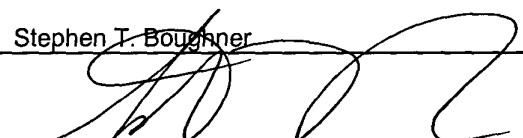
S&H Form (2/02)

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.	1293.1066D2C2
		Application Number	Continuation of 10/107,395
		Filing Date	August 1, 2003
		First Named Inventor	Jung-wan KO
AMOUNT ENCLOSED	\$ 750.00		

FEE CALCULATION (fees effective 10/01/01)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	9 - 20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 84.00 =	0.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =	
			BASIC FILING FEE		750.00
			Total of above Calculations =		\$ 750.00
Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)					
Reduction by 50% for filing by small entity (37 CFR 1.27)					
			TOTAL FILING FEE =		\$ 750.00
Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					
Recordation of Assignment (\$40.00; 37 CFR 1.21(h))					
			TOTAL FEES DUE =		\$ 750.00

METHOD OF PAYMENT	
<input checked="" type="checkbox"/>	Check enclosed as payment.
<input type="checkbox"/>	Charge "TOTAL FEES DUE" to the Deposit Account No. below.
<input type="checkbox"/>	No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION	
<input checked="" type="checkbox"/>	If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
Deposit Account No.	19-3935
Deposit Account Name	STAAS & HALSEY LLP
<input checked="" type="checkbox"/>	The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP			
Typed Name	Stephen T. Boughner	Reg. No.	45,317
Signature		Date	8/1/03